

Form **990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**Open to Public  
Inspection**A** For the 2017 calendar year, or tax year beginning and ending**B** Check if applicable:Address change  
Name change  
Initial return  
Final return/terminated  
Amended return  
Application pending**C** Name of organization**AMERICAN PETROLEUM INSTITUTE**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

**1220 L STREET**

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

**WASHINGTON, DC 20005****F** Name and address of principal officer: **MICHAEL J. SOMMERS****SAME AS C ABOVE****D** Employer identification number**13-0433430****E** Telephone number**(202) 682-8000****G** Gross receipts \$ **226,586,227.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? **Yes** **No**

If "No," attach a list. (see instructions)

**H(c)** Group exemption number ▶**I** Tax-exempt status: 501(c)(3) ☒ 501(c) ( 6 ) ◀ (insert no.) 4947(a)(1) or 527**J** Website: ▶ **WWW.API.ORG****K** Form of organization: ☒ Corporation Trust Association Other ▶**L** Year of formation: **1919** **M** State of legal domicile: **DC****Part I Summary****1** Briefly describe the organization's mission or most significant activities: **SEE PART III, LINE 1****2** Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	<b>44</b>
<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	<b>43</b>
<b>5</b> Total number of individuals employed in calendar year 2017 (Part V, line 2a)	<b>5</b>	<b>332</b>
<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b>	<b>6000</b>
<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	<b>11,371,855.</b>
<b>7b</b> Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b>	<b>1,099,053.</b>

Revenue

	Prior Year	Current Year
<b>8</b> Contributions and grants (Part VIII, line 1h)	<b>12,193,728.</b>	<b>100,000.</b>
<b>9</b> Program service revenue (Part VIII, line 2g)	<b>200,946,375.</b>	<b>187,074,722.</b>
<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<b>504,780.</b>	<b>513,458.</b>
<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<b>16,013,935.</b>	<b>18,987,624.</b>
<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<b>229,658,818.</b>	<b>206,675,804.</b>

Expenses

<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)	<b>4,321,347.</b>	<b>2,347,561.</b>
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	<b>0.</b>	<b>0.</b>
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	<b>60,071,949.</b>	<b>61,818,084.</b>
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	<b>0.</b>	<b>0.</b>
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>0.</b>		
<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	<b>160,686,526.</b>	<b>156,678,262.</b>
<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	<b>225,079,822.</b>	<b>220,843,907.</b>
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	<b>4,578,996.</b>	<b>-14,168,103.</b>

Net Assets or Fund Balances

	Beginning of Current Year	End of Year
<b>20</b> Total assets (Part X, line 16)	<b>88,459,067.</b>	<b>84,629,322.</b>
<b>21</b> Total liabilities (Part X, line 26)	<b>112,383,184.</b>	<b>133,279,064.</b>
<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	<b>-23,924,117.</b>	<b>-48,649,742.</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here** ▶ Signature of officer **MICHAEL J. SOMMERS, PRESIDENT AND CEO** Date **11/9/18**  
 ▶ Type or print name and title

**Paid Preparer Use Only**  
 Print/Type preparer's name **DEBORAH G. KOSNETT** Preparer's signature **Deborah G. Kosnett** Date **11/01/18** Check if self-employed ☐ PTIN **P00290720**  
 Firm's name ▶ **TATE AND TRYON** Firm's EIN ▶ **52-1855942**  
 Firm's address ▶ **2021 L STREET, NW SUITE 400** Phone no. (202) **293-2200**  
**WASHINGTON, DC 20036**

May the IRS discuss this return with the preparer shown above? (see instructions) **Yes** ☒ **No** ☐

**Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒ **X****1** Briefly describe the organization's mission:

API'S MISSION IS TO PROMOTE SAFETY ACROSS THE INDUSTRY GLOBALLY AND TO INFLUENCE PUBLIC POLICY IN SUPPORT OF A STRONG, VIABLE U.S. OIL AND NATURAL GAS INDUSTRY.

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

ADVOCACY - API SPEAKS FOR THE PETROLEUM INDUSTRY BEFORE CONGRESS, THE EXECUTIVE BRANCH OF GOVERNMENT, STATE LEGISLATURES, AND THE MEDIA. IT NEGOTIATES WITH REGULATORY AGENCIES, REPRESENTS THE INDUSTRY IN LEGAL PROCEEDINGS, PARTICIPATES IN COALITIONS, AND WORKS IN PARTNERSHIP WITH OTHER ASSOCIATIONS TO ACHIEVE ITS MEMBERS' PUBLIC POLICY GOALS.

**4b** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

RESEARCH AND STATISTICS - API CONDUCTS OR SPONSORS RESEARCH RANGING FROM ECONOMIC ANALYSES TO TOXICOLOGICAL TESTING. API COLLECTS, MAINTAINS AND PUBLISHES STATISTICS AND DATA ON ALL ASPECTS OF U.S. INDUSTRY OPERATIONS, INCLUDING SUPPLY AND DEMAND FOR VARIOUS PRODUCTS, IMPORTS AND EXPORTS, DRILLING ACTIVITIES AND COSTS, AND WELL COMPLETIONS. THIS DATA PROVIDES TIMELY INDICATORS OF INDUSTRY TRENDS. API'S WEEKLY STATISTICAL BULLETIN IS THE MOST RECOGNIZED PUBLICATION, WIDELY REPORTED BY THE MEDIA.

**4c** (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

STANDARDS - FOR MORE THAN 90 YEARS, API HAS LED THE DEVELOPMENT OF PETROLEUM, NATURAL GAS AND PETROCHEMICAL EQUIPMENT AND OPERATING STANDARDS. THESE REPRESENT THE INDUSTRY'S COLLECTIVE WISDOM ON EVERYTHING FROM DRILL BITS TO ENVIRONMENTAL PROTECTION AND EMBRACE PROVEN, SOUND ENGINEERING AND OPERATING PRACTICES AND SAFE, INTERCHANGEABLE EQUIPMENT AND MATERIALS. API MAINTAINS NEARLY 700 STANDARDS AND RECOMMENDED PRACTICES. MANY HAVE BEEN INCORPORATED INTO STATE AND FEDERAL REGULATIONS AND THEY ARE ALSO THE MOST WIDELY CITED STANDARDS BY THE INTERNATIONAL REGULATORY COMMUNITY.

**4d** Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

**4e** Total program service expenses ►

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>		<b>X</b>
<b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	<b>X</b>	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	<b>X</b>	
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	<b>N/A</b>	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	<b>X</b>	
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		<b>X</b>
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		<b>X</b>
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		<b>X</b>
<b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		<b>X</b>
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		<b>X</b>
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	<b>X</b>	
<b>b</b> Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		<b>X</b>
<b>c</b> Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		<b>X</b>
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		<b>X</b>
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	<b>X</b>	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>		<b>X</b>
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>		<b>X</b>
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	<b>X</b>	
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		<b>X</b>
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?	<b>X</b>	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	<b>X</b>	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		<b>X</b>
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	<b>X</b>	
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		<b>X</b>
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>		<b>X</b>
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		<b>X</b>

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**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> .....		<b>X</b>
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .....		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> .....	<b>X</b>	
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> .....	<b>X</b>	
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> .....	<b>X</b>	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> .....		<b>X</b>
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> .....	<b>N/A</b>	
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> .....	<b>N/A</b>	
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i> .....		<b>X</b>
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> .....		<b>X</b>
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		<b>X</b>
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		<b>X</b>
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> .....		<b>X</b>
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....		<b>X</b>
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....		<b>X</b>
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....		<b>X</b>
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....		<b>X</b>
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....	<b>X</b>	
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....	<b>X</b>	
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....	<b>X</b>	
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....	<b>X</b>	
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....	<b>N/A</b>	
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....		<b>X</b>
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O .....	<b>X</b>	

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**Part V** Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	286
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	332
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	X
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	3b	X
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
<b>b</b>	If "Yes," enter the name of the foreign country: <b>CHINA, BRAZIL</b> See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
<b>c</b>	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	X
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b> N/A		
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	N/A
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	N/A
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? N/A	8	
<b>9</b>	<b>Sponsoring organizations maintaining donor advised funds.</b>		
<b>a</b>	Did the sponsoring organization make any taxable distributions under section 4966? N/A	9a	
<b>b</b>	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? N/A	9b	
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter:		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12 N/A	10a	
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter:		
<b>a</b>	Gross income from members or shareholders N/A	11a	
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a	
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year N/A	12b	
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? N/A <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	13a	
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
<b>c</b>	Enter the amount of reserves on hand	13c	
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

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**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

**Section A. Governing Body and Management**

	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year ..... <b>1a</b> 44		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent ..... <b>1b</b> 43		
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? .....	<b>2</b>	X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? .....	<b>3</b>	X
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? .....	<b>4</b>	X
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets? .....	<b>5</b>	X
<b>6</b> Did the organization have members or stockholders? .....	<b>6</b>	X
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? .....	<b>7a</b>	X
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? .....	<b>7b</b>	X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b> The governing body? .....	<b>8a</b>	X
<b>b</b> Each committee with authority to act on behalf of the governing body? .....	<b>8b</b>	X
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O .....	<b>9</b>	X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates? .....	<b>10a</b>	X
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? .....	<b>10b</b>	X
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? .....	<b>11a</b>	X
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13 .....	<b>12a</b>	X
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? .....	<b>12b</b>	X
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done .....	<b>12c</b>	X
<b>13</b> Did the organization have a written whistleblower policy? .....	<b>13</b>	X
<b>14</b> Did the organization have a written document retention and destruction policy? .....	<b>14</b>	X
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official .....	<b>15a</b>	X
<b>b</b> Other officers or key employees of the organization .....	<b>15b</b>	X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? .....	<b>16a</b>	X
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? .....	<b>16b</b>	

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed **CA**

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

**19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

**20** State the name, address, and telephone number of the person who possesses the organization's books and records: **►**  
**MICHAEL J. PFEIFFER - (202) 682-8000**  
**1220 L STREET NW, WASHINGTON, DC 20005**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) KHALID S. ALNAJI BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(2) LEE BOOTHBY BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(3) THOMAS BURKE BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(4) JOHN CHRISTMANN BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(5) MARTIN S. CRAIGHEAD BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(6) BRUCE CULPEPPER BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(7) TIM J. CUTT BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(8) DAN DINGES BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(9) GREG L. EBEL BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(10) TIMOTHY C. FELT BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(11) GREG C. GARLAND BOARD MEMBER & CHAIR FIN CMTE	0.50 0.00	X		X				0.	0.	0.
(12) RUSSELL K. GIRLING BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(13) DAVID W. GRZEBINSKI BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(14) DAVE A. HAGER BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(15) GARY R. HEMINGER BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(16) JOHN B. HESS BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(17) VICKI HOLLUB BOARD MEMBER	0.50 0.00	X						0.	0.	0.



**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) PAUL L. HOWES BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(19) W. HERBERT HUNT BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(20) ROGER JENKINS BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(21) PAAL KIBSGAARD BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(22) TRACY W. KROHN BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(23) RYAN M. LANCE CHAIRMAN OF THE BOARD	0.50 0.00	X		X				0.	0.	0.
(24) ROBERT (DOUG) LAWLER BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(25) DAVID J. LESAR BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(26) JEFF MILLER BOARD MEMBER	0.50 0.00	X						0.	0.	0.
<b>1b Sub-total</b>								0.	0.	0.
<b>c Total from continuation sheets to Part VII, Section A</b>								14,346,670.	0.	2960826.
<b>d Total (add lines 1b and 1c)</b>								14,346,670.	0.	2960826.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

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- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3	X	
4	X	
5		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
GSD&M LLC 828 W 6TH ST, AUSTIN, TX 78703	ADVERTISING	30,396,324.
DDC ADVOCACY LLC, 174 WATERFRONT ST, SUITE 500, NATIONAL HARBOR, MD 20745	ADVOCACY	6,922,626.
MOODY INTERNATIONAL LTD, 25025 I-45 NORTH, SUITE 111, SPRING, TX 77380	AUDITING	4,884,186.
ADVOCATES INC DC LTD 300 REALITY FARM LANE, WASHINGTON, VA 22747	ADVOCACY	4,039,363.
ASTM INTERNATIONAL, 100 BARR HARBOR DRIVE, WEST CONSHOHOCKEN, PA 19428	LAB TESTING AND CONSULTING	3,612,295.

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization

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SEE PART VII, SECTION A CONTINUATION SHEETS

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**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(27) JOHN C. MINGE BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(28) AL MONACO BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(29) RICHARD MUNCRIEF BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(30) STEVE PASTOR BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(31) HARRY N. PEFANIS BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(32) DOUGLAS PFERDEHIRT BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(33) TORGRIM REITAN BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(34) GARY G. RICH BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(35) DAVID J. RINTOUL BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(36) JOSE-IGNACIO SANZ SAIZ BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(37) DAVID T. SEATON BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(38) SCOTT SHEFFIELD BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(39) LORENZO SIMONELLI BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(40) DAVE STOVER BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(41) DOUG J. SUTTLES BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(42) WILLIAM THOMAS BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(43) LEE M. TILLMAN BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(44) AL WALKER BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(45) JOHN S. WATSON BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(46) DAVID W. WILLIAMS BOARD MEMBER	0.50 0.00	X						0.	0.	0.
Total to Part VII, Section A, line 1c .....										

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(47) DARREN WOODS BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(48) KAREN WRIGHT BOARD MEMBER	0.50 0.00	X						0.	0.	0.
(49) JACK N. GERARD PRESIDENT AND CEO	40.00 0.00	X		X				5,294,141.	0.	1365985.
(50) STACY R. LINDEN GENERAL COUNSEL & CORPORATE SECRETAR	40.00 0.00			X				551,521.	0.	113,121.
(51) JOHN E. ROBERTSON VICE PRESIDENT AND CFO	40.00 0.00			X				485,850.	0.	112,283.
(52) MARTIN J. DURBIN EXECUTIVE VP & CHIEF STRATEGY OFFICE	40.00 0.00				X			1,938,569.	0.	212,796.
(53) FRANK J. MACCHIAROLA GROUP DIRECTOR	40.00 0.00				X			562,138.	0.	69,279.
(54) KYLE B. ISAKOWER VICE PRESIDENT	40.00 0.00				X			523,142.	0.	147,908.
(55) ROBIN R. RORICK GROUP DIRECTOR	40.00 0.00				X			521,965.	0.	109,400.
(56) ERIK G. MILITO GROUP DIRECTOR	40.00 0.00				X			465,869.	0.	111,405.
(57) LISA SALLEY VICE PRESIDENT	40.00 0.00				X			446,635.	0.	75,527.
(58) LINDA G. ROZETT VICE PRESIDENT	40.00 0.00				X			298,601.	0.	-47,661.
(59) MEGAN B. BLOOMGREN VICE PRESIDENT	40.00 0.00				X			272,744.	0.	51,088.
(60) AMY L. FARRELL GROUP DIRECTOR	40.00 0.00				X			151,204.	0.	-1,343.
(61) CHARLES R. WILLIAMS EXEC DIR CTR FOR OFFSHORE SAFETY	40.00 0.00					X		696,820.	0.	105,503.
(62) ROLF W. HANSON SENIOR DIRECTOR	40.00 0.00					X		493,679.	0.	79,747.
(63) STEPHEN E. COMSTOCK DIRECTOR	40.00 0.00					X		340,817.	0.	73,986.
(64) HOWARD J. FELDMAN SENIOR DIRECTOR	40.00 0.00					X		332,933.	0.	146,029.
(65) JOHN P. WAGNER MANAGING COUNSEL	40.00 0.00					X		315,268.	0.	160,338.
(66) LOUIS A. FINKEL FORMER EXECUTIVE VICE PRESIDENT	0.00 0.00						X	380,844.	0.	8,457.
Total to Part VII, Section A, line 1c										



**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

☒ X

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns .....	<b>1a</b>					
	<b>b</b> Membership dues .....	<b>1b</b>					
	<b>c</b> Fundraising events .....	<b>1c</b>					
	<b>d</b> Related organizations .....	<b>1d</b>					
	<b>e</b> Government grants (contributions) .....	<b>1e</b>					
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above .....	<b>1f</b>	100,000.				
	<b>g</b> Noncash contributions included in lines 1a-1f: \$ .....						
	<b>h Total.</b> Add lines 1a-1f .....			100,000.			
<b>Program Service Revenue</b>	<b>2 a</b> MEMBERSHIP DUES .....	<b>Business Code</b>	900099	90,446,981.	90,446,981.		
	<b>b</b> CERTIFICATION PROGRAM .....		900099	75,755,190.	75,755,190.		
	<b>c</b> CERTIFICATION FEES .....		541900	11,333,457.		11,333,457.	
	<b>d</b> MEETING REVENUE .....		900099	8,347,455.	8,347,455.		
	<b>e</b> SUBSCRIPTIONS .....		900099	285,000.	285,000.		
	<b>f</b> All other program service revenue .....		900099	906,639.	906,639.		
	<b>g Total.</b> Add lines 2a-2f .....			187,074,722.			
	<b>3</b> Investment income (including dividends, interest, and other similar amounts) .....			561,088.			561,088.
<b>4</b> Income from investment of tax-exempt bond proceeds .....							
<b>5</b> Royalties .....			18,888,932.	18,888,932.			
<b>Other Revenue</b>	<b>6 a</b> Gross rents .....	(i) Real	(ii) Personal				
	<b>b</b> Less: rental expenses .....						
	<b>c</b> Rental income or (loss) .....						
	<b>d</b> Net rental income or (loss) .....						
	<b>7 a</b> Gross amount from sales of assets other than inventory .....	(i) Securities	(ii) Other				
	<b>b</b> Less: cost or other basis and sales expenses .....						
	<b>c</b> Gain or (loss) .....						
	<b>d</b> Net gain or (loss) .....			-47,630.			-47,630.
	<b>8 a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18 .....	<b>a</b>					
	<b>b</b> Less: direct expenses .....	<b>b</b>					
	<b>c</b> Net income or (loss) from fundraising events .....						
	<b>9 a</b> Gross income from gaming activities. See Part IV, line 19 .....	<b>a</b>					
	<b>b</b> Less: direct expenses .....	<b>b</b>					
	<b>c</b> Net income or (loss) from gaming activities .....						
	<b>10 a</b> Gross sales of inventory, less returns and allowances .....	<b>a</b>					
	<b>b</b> Less: cost of goods sold .....	<b>b</b>					
	<b>c</b> Net income or (loss) from sales of inventory .....						
	<b>Miscellaneous Revenue</b>			<b>Business Code</b>			
<b>11 a</b> MISCELLANEOUS REVENUE .....		900099	60,294.			60,294.	
<b>b</b> ADVERTISING REVENUE .....		541800	38,398.		38,398.		
<b>c</b> .....							
<b>d</b> All other revenue .....							
<b>e Total.</b> Add lines 11a-11d .....			98,692.				
<b>12 Total revenue.</b> See instructions. ....			206,675,804.	194,630,197.	11,371,855.	573,752.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

☒ X

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	2,268,316.			
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22	32,020.			
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	47,225.			
<b>4</b> Benefits paid to or for members				
<b>5</b> Compensation of current officers, directors, trustees, and key employees	13,418,742.			
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
<b>7</b> Other salaries and wages	41,363,566.			
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,855,401.			
<b>9</b> Other employee benefits	2,301,310.			
<b>10</b> Payroll taxes	2,879,065.			
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management				
<b>b</b> Legal	4,353,427.			
<b>c</b> Accounting	156,223.			
<b>d</b> Lobbying	11,259,846.			
<b>e</b> Professional fundraising services. See Part IV, line 17				
<b>f</b> Investment management fees	78,498.			
<b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	46,460,436.			
<b>12</b> Advertising and promotion	39,614,318.			
<b>13</b> Office expenses	2,948,531.			
<b>14</b> Information technology	1,383,516.			
<b>15</b> Royalties				
<b>16</b> Occupancy	6,013,261.			
<b>17</b> Travel	3,056,690.			
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials				
<b>19</b> Conferences, conventions, and meetings	6,314,882.			
<b>20</b> Interest				
<b>21</b> Payments to affiliates				
<b>22</b> Depreciation, depletion, and amortization	5,807,897.			
<b>23</b> Insurance	528,926.			
<b>24</b> Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> <b>UNRELATED BUSINESS INCOME</b>	447,973.			
<b>b</b> <b>STUDIES, RESEARCH, AND ANALYSIS</b>	15,420,829.			
<b>c</b> <b>NET PENSION EXPENSE</b>	5,636,277.			
<b>d</b> <b>POSTRETIREMENT BENEFIT</b>	4,953,538.			
<b>e</b> All other expenses	2,243,194.			
<b>25</b> <b>Total functional expenses.</b> Add lines 1 through 24e	220,843,907.			
<b>26</b> <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....		<b>1</b>	
	<b>2</b> Savings and temporary cash investments .....	30,888,537.	<b>2</b>	34,222,877.
	<b>3</b> Pledges and grants receivable, net .....		<b>3</b>	
	<b>4</b> Accounts receivable, net .....	11,803,853.	<b>4</b>	9,308,542.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		<b>6</b>	
	<b>7</b> Notes and loans receivable, net .....		<b>7</b>	
	<b>8</b> Inventories for sale or use .....		<b>8</b>	
	<b>9</b> Prepaid expenses and deferred charges .....	2,299,490.	<b>9</b>	2,004,964.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	<b>10a</b> 44,940,500.		
	<b>b</b> Less: accumulated depreciation .....	<b>10b</b> 37,369,150.	<b>10c</b>	7,571,350.
	<b>11</b> Investments - publicly traded securities .....	31,129,935.	<b>11</b>	31,521,589.
	<b>12</b> Investments - other securities. See Part IV, line 11 .....		<b>12</b>	
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		<b>13</b>	
	<b>14</b> Intangible assets .....		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11 .....		<b>15</b>	
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	88,459,067.	<b>16</b>	84,629,322.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....	24,609,672.	<b>17</b>	24,558,090.
	<b>18</b> Grants payable .....		<b>18</b>	
	<b>19</b> Deferred revenue .....	5,769,762.	<b>19</b>	6,840,596.
	<b>20</b> Tax-exempt bond liabilities .....		<b>20</b>	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		<b>21</b>	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....	82,003,750.	<b>25</b>	101,880,378.
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 .....	112,383,184.	<b>26</b>	133,279,064.
	<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>		
<b>27</b> Unrestricted net assets .....		-23,924,117.	<b>27</b>	-48,649,742.
<b>28</b> Temporarily restricted net assets .....			<b>28</b>	
<b>29</b> Permanently restricted net assets .....			<b>29</b>	
<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>				
<b>30</b> Capital stock or trust principal, or current funds .....			<b>30</b>	
<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....			<b>31</b>	
<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....			<b>32</b>	
<b>33</b> Total net assets or fund balances .....		-23,924,117.	<b>33</b>	-48,649,742.
<b>34</b> Total liabilities and net assets/fund balances .....		88,459,067.	<b>34</b>	84,629,322.

Form 990 (2017)

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

☒

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	206,675,804.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	220,843,907.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	-14,168,103.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	-23,924,117.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	-87,645.
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	-10,469,877.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	-48,649,742.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

☒

	Yes	No
<b>1</b> Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
<b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		<input checked="" type="checkbox"/>
<b>b</b> Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	<input checked="" type="checkbox"/>	
<b>c</b> If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	<input checked="" type="checkbox"/>	
<b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		<input checked="" type="checkbox"/>
<b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2017)



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2017**

Name of the organization

AMERICAN PETROLEUM INSTITUTE

Employer identification number

13-0433430

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)( 6 ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization <b>AMERICAN PETROLEUM INSTITUTE</b>	Employer identification number <b>13-0433430</b>
---	---

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>		\$ <u>100,000.</u>	<b>Person</b> <input checked="checked" type="checkbox"/> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)
		\$ _____	<b>Person</b> <b>Payroll</b> <b>Noncash</b> (Complete Part II for noncash contributions.)

Employer identification number

13-0433430

## Part II

[illegible]

Name of organization	Employer identification number
<b>AMERICAN PETROLEUM INSTITUTE</b>	<b>13-0433430</b>

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization

**AMERICAN PETROLEUM INSTITUTE**

Employer identification number

**13-0433430**

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political campaign activity expenditures ..... ▶ \$ **287,500.**
- 3 Volunteer hours for political campaign activities .....

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ .....
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ .....
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ..... ☐ Yes ☐ No
- 4a Was a correction made? ..... ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ .....
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ **287,500.**
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ..... ▶ \$ **287,500.**
- 4 Did the filing organization file **Form 1120-POL** for this year? ..... ☒ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
AMERICAN PETROLEUM INSTITUTE POLITI	WASHINGTON, DC 20005	26-2596972	0.	56,128.
COMMUNITY LEADERS OF AMERICA	DALLAS, TX 75201	46-3149989	30,000.	0.
CONGRESSIONAL LEADERSHIP FUND	WASHINGTON, DC 20006	45-3578123	10,000.	0.
DEMOCRATIC GOVERNORS ASSOCIATION	WASHINGTON, DC 20005	52-1304889	25,000.	0.
MAHONING COUNTY DEMOCRATIC PARTY	YOUNGSTOWN, OH 44512	34-1628309	10,000.	0.
OHIO DEMOCRATIC PARTY	COLUMBUS, OH 43215	31-4165080	10,000.	0.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2017

LHA

**SEE PART IV FOR CONTINUATION**

732041 11-09-17

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

**A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) .....			
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....			
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....			
<b>d</b> Other exempt purpose expenditures .....			
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....			
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.			
<b>If the amount on line 1e, column (a) or (b) is:</b>	<b>The lobbying nontaxable amount is:</b>		
Not over \$500,000	20% of the amount on line 1e.		
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.		
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.		
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.		
Over \$17,000,000	\$1,000,000.		
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) .....			
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- .....			
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- .....			
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....			

☐ Yes ☐ No

**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.

See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2017

**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?			
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b> Media advertisements?			
<b>d</b> Mailings to members, legislators, or the public?			
<b>e</b> Publications, or published or broadcast statements?			
<b>f</b> Grants to other organizations for lobbying purposes?			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?			
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b> Other activities?			
<b>j</b> Total. Add lines 1c through 1i			
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?		X
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?		X
<b>3</b> Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	X	

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

<b>1</b> Dues, assessments and similar amounts from members	<b>1</b>	91,336,647.
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year	<b>2a</b>	59,143,432.
<b>b</b> Carryover from last year	<b>2b</b>	-4,713,552.
<b>c</b> Total	<b>2c</b>	54,429,880.
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>	63,935,653.
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>	-9,505,773.
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions)	<b>5</b>	

**Part IV** Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

**PART I-A, LINE 1:**

API CONDUCTS POLITICAL ACTIVITIES THROUGH A SEPARATE SEGREGATED FUND,  
 AMERICAN PETROLEUM INSTITUTE POLITICAL ACTION COMMITTEE. IN 2017, API  
 MADE PAYMENTS TOTALING \$287,500 TO SECTION 527 POLITICAL ORGANIZATIONS.

**PART I-C CONTINUATION FOR INCOMPLETE NAME/ADDRESS INFORMATION:**

Schedule C (Form 990 or 990-EZ) 2017



**Part IV** Supplemental Information (continued)**AMERICAN PETROLEUM INSTITUTE POLITICAL ACTION COMMITTEE**

1220 L STREET, NW WASHINGTON, DC 20005

**COMMUNITY LEADERS OF AMERICA**

2101 CEDAR SPRINGS ROAD, SUITE 1050 DALLAS, TX 75201

**CONGRESSIONAL LEADERSHIP FUND**

1747 PENNSYLVANIA AVE, NW, 5TH FLOOR WASHINGTON, DC 20006

**DEMOCRATIC GOVERNORS ASSOCIATION**

1401 K STREET, NW, SUITE 200 WASHINGTON, DC 20005

**MAHONING COUNTY DEMOCRATIC PARTY**

4011 HILLMAN WAY YOUNGSTOWN, OH 44512

**OHIO DEMOCRATIC PARTY**

340 EAST FULTON STREET COLUMBUS, OH 43215

**PART I-C CONTINUATION:****REPUBLICAN ATTORNEYS GENERAL ASSOCIATION**

1747 PENN AVE, NW, STE 800 WASHINGTON, DC 20006

EIN: 46-4501717 COL (D) AMOUNT: 50000. COL (E) AMOUNT: 0.

**REPUBLICAN GOVERNORS ASSOCIATION**

1747 PENN AVE, NW, STE 250 WASHINGTON, DC 20006

EIN: 11-3655877 COL (D) AMOUNT: 125000. COL (E) AMOUNT: 0.

**REPUBLICAN PARTY OF IOWA**

**Part IV** Supplemental Information (continued)

621 EAST 9TH STREET DES MOINES, IA 50309

EIN: 42-0489295 COL (D) AMOUNT: 2500. COL (E) AMOUNT: 0.

REPUBLICAN STATE LEADERSHIP COMMITTEE

1201 F STREET, NW, SUITE 675 WASHINGTON, DC 20004

EIN: 05-0532524 COL (D) AMOUNT: 25000. COL (E) AMOUNT: 0.

PUBLIC DISCLOSURE COPY

**SCHEDULE D**  
**(Form 990)**Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**▶ **Complete if the organization answered "Yes" on Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**  
▶ **Attach to Form 990.**▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

OMB No. 1545-0047

**2017****Open to Public  
Inspection****Name of the organization**

AMERICAN PETROLEUM INSTITUTE

**Employer identification number**

13-0433430

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate value of contributions to (during year) .....		
3 Aggregate value of grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ .....

4 Number of states where property subject to conservation easement is located ▶ .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ .....

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 .....

▶ \$ .....

(ii) Assets included in Form 990, Part X .....

▶ \$ .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 .....

▶ \$ .....

b Assets included in Form 990, Part X .....

▶ \$ .....

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2017

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition d ☐ Loan or exchange programs  
 b ☐ Scholarly research e ☐ Other \_\_\_\_\_  
 c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ☐ %  
 b Permanent endowment ☐ %  
 c Temporarily restricted endowment ☐ %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations  
 (ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		9,289,999.	7,115,389.	2,174,610.
d Equipment		6,484,448.	5,678,510.	805,938.
e Other		29,166,053.	24,575,251.	4,590,802.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				7,571,350.

Schedule D (Form 990) 2017

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other .....		
(A) .....		
(B) .....		
(C) .....		
(D) .....		
(E) .....		
(F) .....		
(G) .....		
(H) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) .....		
(2) .....		
(3) .....		
(4) .....		
(5) .....		
(6) .....		
(7) .....		
(8) .....		
(9) .....		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) .....	
(2) .....	
(3) .....	
(4) .....	
(5) .....	
(6) .....	
(7) .....	
(8) .....	
(9) .....	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2) ACCRUED PENSION LIABILITY	31,951,178.	
(3) SUPPLEMENTAL BENEFIT PLANS	9,848,725.	
(4) POSTRETIREMENT BENEFITS OTHER THAN		
(5) PENSION	60,080,475.	
(6) .....		
(7) .....		
(8) .....		
(9) .....		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	101,880,378.	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Schedule D (Form 990) 2017

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements	<b>1</b>	206,610,664.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains (losses) on investments	<b>2a</b>	-87,645.
<b>b</b>	Donated services and use of facilities	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.)	<b>2d</b>	101,003.
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	13,358.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	206,597,306.
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	78,498.
<b>b</b>	Other (Describe in Part XIII.)	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	78,498.
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.)	<b>5</b>	206,675,804.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements	<b>1</b>	220,863,245.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities	<b>2a</b>	
<b>b</b>	Prior year adjustments	<b>2b</b>	
<b>c</b>	Other losses	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.)	<b>2d</b>	97,836.
<b>e</b>	Add lines <b>2a</b> through <b>2d</b>	<b>2e</b>	97,836.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b>	<b>3</b>	220,765,409.
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	78,498.
<b>b</b>	Other (Describe in Part XIII.)	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b>	<b>4c</b>	78,498.
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.)	<b>5</b>	220,843,907.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART XI, LINE 2D - OTHER ADJUSTMENTS:**

API POLITICAL ACTION COMMITTEE CONSOLIDATED IN AUDITED

FINANCIAL STATEMENTS.

101,003.

**PART XII, LINE 2D - OTHER ADJUSTMENTS:**

API POLITICAL ACTION COMMITTEE CONSOLIDATED IN AUDITED

FINANCIAL STATEMENTS.

97,836.

**SCHEDULE F  
(Form 990)**Department of the Treasury  
Internal Revenue Service**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**Open to Public  
Inspection

Name of the organization

AMERICAN PETROLEUM INSTITUTE

Employer identification number

13-0433430

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ..... ☒ **Yes** ☐ **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
CENTRAL AMERICA AND THE CARIBBEAN	0	0	PROGRAM SERVICES	CERTIFICATION PROGRAMS	92,580.
EAST ASIA AND THE PACIFIC	2	4	PROGRAM SERVICES	CERTIFICATION PROGRAMS	8,921,563.
EAST ASIA AND THE PACIFIC	0	0	GRANTS & CONTRIBUTIONS		47,225.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	CERTIFICATION PROGRAMS	2,327,721.
MIDDLE EAST AND NORTH AFRICA	1	1	PROGRAM SERVICES	CERTIFICATION PROGRAMS	1,969,488.
NORTH AMERICA	0	0	PROGRAM SERVICES	CERTIFICATION PROGRAMS	957,213.
RUSSIA AND NEIGHBORING STATES	0	0	PROGRAM SERVICES	CERTIFICATION PROGRAMS	698,915.
SOUTH AMERICA	1	1	PROGRAM SERVICES	CERTIFICATION PROGRAMS	942,037.
<b>3 a Sub-total</b> .....	4	6			15,956,742.
<b>b Total from continuation sheets to Part I</b> .....	0	0			1,254,155.
<b>c Totals</b> (add lines 3a and 3b) .....	4	6			17,210,897.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2017



**Part I** Continuation of Activities per Region. (Schedule F (Form 990), Part I, line 3)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA	0	0	PROGRAM SERVICES	CERTIFICATION PROGRAMS	1,048,628.
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	CERTIFICATION PROGRAMS	205,527.
<b>Totals</b> .....					1,254,155.

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Part III can be duplicated if additional space is needed.

[illegible]

**Part IV Foreign Forms**

- 1** Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ..... ☐ Yes ☒ No
- 2** Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ..... ☐ Yes ☒ No
- 3** Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* ..... ☐ Yes ☒ No
- 4** Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ..... ☐ Yes ☒ No
- 5** Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ..... ☐ Yes ☒ No
- 6** Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* ..... ☒ Yes ☐ No

Schedule F (Form 990) 2017

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**PART I, LINE 2:**

ALL GRANTS ARE ASSIGNED AN API STAFF MEMBER AS THE CONTRACT OFFICER. THE CONTRACT OFFICER'S RESPONSIBILITIES INCLUDE MONITORING THE ACTIVITIES OF GRANTEES, AS WELL AS THE RECEIPT AND REVIEW OF PERIODIC PROGRESS REPORTS.

DURING 2017, API PROVIDED SCHOLARSHIPS IN THE FORM OF AIRFARE, LODGING AND MEALS TO CERTAIN FOREIGN INDIVIDUALS ATTENDING THE INTERNATIONAL OIL SPILL CONFERENCE. THESE SCHOLARSHIPS ARE REPORTED ON SCHEDULE F, PARTS I AND III.

**PART I, LINE 3:**

API'S CERTIFICATION PROGRAMS INCLUDE LICENSING OF MANUFACTURERS AND INDIVIDUALS THROUGHOUT THE WORLD. AUDITS ARE CONDUCTED THROUGH INDEPENDENT CONTRACTORS, WHICH ARE PRIMARILY COORDINATED THROUGH U.S.-BASED ENTITIES. THE EVALUATION AND GRANT OF LICENSES TO MANUFACTURERS IS CONDUCTED AT API'S HEADQUARTERS IN WASHINGTON, D.C. SIMILARLY, API'S INDIVIDUAL CERTIFICATION EXAMINATIONS ARE OFFERED AT VARIOUS WORLD-WIDE LOCATIONS, PROCTORED BY A U.S.-BASED INDEPENDENT CONTRACTOR. THE EXAMINATION RESULTS AND CERTIFICATIONS ARE ALSO EVALUATED AND AWARDED AT API'S HEADQUARTERS IN WASHINGTON, D.C.

**SCHEDULE I**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ **Attach to Form 990.**

▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.**

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Name of the organization

**AMERICAN PETROLEUM INSTITUTE**

**Employer identification number**

**13-0433430**

**Part I General Information on Grants and Assistance**

**1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? .....

☒ **Yes** ☐ **No**

**2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

<b>1 (a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section (if applicable)	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of noncash assistance	<b>(h)</b> Purpose of grant or assistance
A WIDER CIRCLE 9159-C BROOKVILLE ROAD SILVER SPRING, MD 20910	52-2345144	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP
ALASKA FEDERATION OF NATIVES 3000 A STREET, SUITE 210 ANCHORAGE, AK 99503	92-0034863	501(C)(4)	25,000.	0.			EVENT SPONSORSHIP
AMERICAN ASSOCIATION OF BLACKS IN ENERGY - 1625 K ST, NW, STE 405 - WASHINGTON, DC 20006	84-0782569	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP
AMERICAN ASSOCIATION OF BLACKS IN ENERGY - 1625 K ST, NW, STE 405 - WASHINGTON, DC 20006	84-0782569	501(C)(3)	15,000.	0.			EVENT SPONSORSHIP
AMERICAN CHEMISTRY COUNCIL, INC. - ACC - 700 2ND STREET, NE - WASHINGTON, DC 20002	53-0104410	501(C)(6)	7,500.	0.			GENERAL SUPPORT
AMERICAN COUNCIL FOR CAPITAL FORMATION - 1750 K STREET, NW, SUITE 400 - WASHINGTON, DC 20006	52-1091172	501(C)(3)	50,000.	0.			GENERAL SUPPORT

**2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ..... **35.**

**3** Enter total number of other organizations listed in the line 1 table ..... **43.**

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**Schedule I (Form 990) (2017)**

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN COUNCIL FOR CAPITAL FORMATION - 1750 K STREET, NW, SUITE 400 - WASHINGTON, DC 20006	52-1091172	501(C)(3)	199,000.	0.			ENERGY POLICY RESEARCH
AMERICAN ENTERPRISE INSTITUTE 1150 SEVENTEETH STREET, NW WASHINGTON, DC 20036	53-0218495	501(C)(3)	15,000.	0.			GENERAL SUPPORT
AMERICAN SOCIETY OF ASSOCIATION EXECUTIVES (ASAE) - 1575 EYE STREET, N.W. - WASHINGTON, DC 20005	53-0026940	501(C)(6)	6,000.	0.			EVENT SPONSORSHIP
AMERICANS FOR TAX REFORM 722 12TH STREET, NW, 4TH FLOOR WASHINGTON, DC 20005-3966	52-1403587	501(C)(4)	50,000.	0.			GENERAL SUPPORT
ARKANSAS INDEPENDENT PRODUCERS AND ROYALTY OWNERS, INC. - 1401 W. CAPITOL AVENUE, SUITE 440 - LITTLE ROCK, AR 72201	26-1648416	501(C)(6)	5,203.	0.			EVENT SPONSORSHIP
ASIAN PACIFIC AMERICAN INSTITUTE FOR CONGRESSIONAL STUDIES (APAICS) - 1001 CONNECTICUT AVENUE, NW SUITE 530 - WASHINGTON, DC 20036	52-1917903	501(C)(3)	15,000.	0.			GENERAL SUPPORT
ASSOCIATION FOR ENVIRONMENTAL HEALTH & SCIENCES FOUNDATION (AEHS) - 150 FEARING STREET, SUITE 21 - AMHERST, MA 01002	26-2624347	501(C)(3)	7,000.	0.			EVENT SPONSORSHIP
BIPARTISAN POLICY CENTER 1225 EYE STREET, NW, SUITE 1000 WASHINGTON, DC 20005	73-1628382	501(C)(3)	25,000.	0.			ENERGY POLICY RESEARCH
BOARD OF HISPANIC CAUCUS CHAIRS 815A BRAZOS #65 AUSTIN, TX 78701	20-2075553	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP

Schedule I (Form 990)



**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CBS TELEVISION STATIONS, INC. 1044 LINCOLN STREET DENVER, CO 80203	25-1783727	NONE	11,890.	0.			EVENT SPONSORSHIP
CBS TELEVISION STATIONS, INC. 1044 LINCOLN STREET DENVER, CO 80203	25-1783727	NONE	15,113.	0.			EVENT SPONSORSHIP
CENTER FOR THE INNOVATIVE TRAINING OF YOUTH (STEM NOLA) - 1631 ELYSIAN FIELDS AVENUE, SUITE 116 - NEW ORLEANS, LA 70117	46-4516976	NONE	15,000.	0.			EVENT SPONSORSHIP
CENTER FOR THE INNOVATIVE TRAINING OF YOUTH (STEM NOLA) - 1631 ELYSIAN FIELDS AVENUE, SUITE 116 - NEW ORLEANS, LA 70117	46-4516976	NONE	10,000.	0.			GENERAL SUPPORT
CITIZENS TO PROTECT PENNSYLVANIA JOBS - 417 WALNUT STREET - HARRISBURG, PA 17101	32-0236838	501(C)(4)	48,000.	0.			GENERAL SUPPORT
COMMITTEE FOR A NEW MISSOURI 1709 MISSOURI BLVD., SUITE C JEFFERSON CITY, MO 65109	81-4432626	501(C)(4)	10,000.	0.			EVENT SPONSORSHIP
COMMUNITY LEADERS OF AMERICA 2101 CEDAR SPRINGS ROAD, SUITE 1050 DALLAS, TX 75201	46-3149989	SEC 527	30,000.	0.			GENERAL SUPPORT
CONGRESSIONAL BLACK CAUCUS FOUNDATION, INC. - 1720 MASSACHUSETTS AVE, NW - WASHINGTON, DC 20036	52-1160561	501(C)(3)	100,000.	0.			EVENT AND INTERN SPONSORSHIP
CONGRESSIONAL COALITION ON ADOPTION INSTITUTE - 311 MASSACHUSETTS AVENUE, NW - WASHINGTON, DC 20002	54-2035617	501(C)(3)	50,000.	0.			GENERAL SUPPORT

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

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CONGRESSIONAL HISPANIC CAUCUS INSTITUTE - 1128 16TH STREET NW - WASHINGTON, DC 20036	52-1114225	501(C)(3)	50,000.	0.			EVENT SPONSORSHIP
CONGRESSIONAL LEADERSHIP FUND 1747 PENNSYLVANIA AVE., NW, 5TH FLO WASHINGTON, DC 20006	45-3578123	SEC 527	10,000.	0.			EVENT SPONSORSHIP
COORDINATING RESEARCH COUNCIL, INC. - 3650 MANSELL ROAD, SUITE 140 - ALPHARETTA, GA 30022	58-1483100	501(C)(3)	10,000.	0.			GENERAL SUPPORT
C-STEM TEACHER AND STUDENT SUPPORT SERVICES INC. - 3226 ALABAMA STREET - HOUSTON, TX 77004	75-3058574	501(C)(3)	6,118.	0.			EVENT SPONSORSHIP
DEMOCRATIC GOVERNORS ASSOCIATION 1401 K ST., NW, STE. 200 WASHINGTON, DC 20005	52-1304889	SEC 527	25,000.	0.			GENERAL SUPPORT
FORD'S THEATRE 514 TENTH ST., NW WASHINGTON, DC 20004	52-6073157	501(C)(3)	10,000.	0.			GENERAL SUPPORT
GIRL SCOUTS OF THE UNITED STATES OF AMERICA - 420 FIFTH AVENUE - NEW YORK, NY 10018	13-1624016	501(C)(3)	50,000.	0.			EVENT SPONSORSHIP
GIRLS ATHLETIC LEADERSHIP SCHOOL OF DENVER - 750 GALAPAGO STREET - DENVER, CO 80204	27-0736802	501(C)(3)	7,500.	0.			EVENT SPONSORSHIP
GROUND WATER PROTECTION COUNCIL 7728 EAST 98TH PLACE TULSA, OK 74133	73-1210455	501(C)(6)	80,000.	0.			RESEARCH GRANT

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GROUND WATER PROTECTION COUNCIL 7728 EAST 98TH PLACE TULSA, OK 74133	73-1210455	501(C)(6)	400,000.	0.			RESEARCH GRANT
GROUND WATER RESEARCH & EDUCATION FOUNDATION - 133308 N. MACARTHUR BOULEVARD - OKLAHOMA CITY, OK 73142	73-1271210	501(C)(3)	10,000.	0.			GENERAL SUPPORT
HISPANIC CHAMBER OF COMMERCE OF METRO DENVER - 924 W. COLFAX AVENUE, SUITE 201 - DENVER, CO 80204	84-0845219	501(C)(6)	7,500.	0.			EVENT SPONSORSHIP
HUSCH BLACKWELL 190 CARONDELET PLAZA, SUITE 600 ST. LOUIS, MO 63105-3441	26-1688286	NONE	15,000.	0.			EVENT SPONSORSHIP
HYDROGEN SULFIDE COALITION 1203 19TH STREET, N.W., SUITE 300 WASHINGTON, DC 20036	25-1908551	501(C)(6)	11,000.	0.			COALITION ACTIVITIES
ISSUE MANAGEMENT RESOURCES LLC 5054 BEARD AVENUE, SOUTH MINNEAPOLIS, MN 55410	39-2017905	NONE	5,500.	0.			EVENT SPONSORSHIP
JOINT CENTER FOR POLITICAL AND ECONOMIC STUDIES, INC. - 633 PENNSYLVANIA AVENUE, NW - WASHINGTON, DC 20004	52-1069070	501(C)(3)	25,000.	0.			GENERAL SUPPORT
JUNIOR LEAGUE OF RALEIGH 711 HILLSBOROUGH STREET RALEIGH, NC 27603	56-0562849	501(C)(3)	15,000.	0.			EVENT SPONSORSHIP
MAHONING COUNTY DEMOCRATIC PARTY 4011 HILLMAN WAY YOUNGSTOWN, OH 44512	34-1628309	SEC 527	10,000.	0.			GENERAL SUPPORT

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MARCH OF DIMES 2120 WASHINGTON BOULEVARD ALRINGTON, VA 22204	13-1846366	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP
METRO DENVER ECONOMIC DEVELOPMENT CORP - 1445 MARKET STREET - 4TH FLOOR - DENVER, CO 80202-1729	84-0186760	501(C)(6)	37,500.	0.			GENERAL SUPPORT
MID-ATLANTIC CONFERENCE OF REGULATORY UTILITIES COMMISSIONERS - 1101 VERMONT AVENUE, NW, SUITE 200 - WASHINGTON, DC 20005	52-2027917	501(C)(4)	7,500.	0.			EVENT SPONSORSHIP
MINNESOTA STREET ROD ASSOCIATION 10546 253RD STREET CHISAGO CITY, MN 55013-7448	41-1467305	501(C)(6)	15,000.	0.			EVENT SPONSORSHIP
MONIKA R SIMOES/ ENERGY DIALOGUES LLC - 5241 CAMINITO EXQUISITO - SAN DIEGO, CA 92130	46-2467303	NONE	6,500.	0.			EVENT SPONSORSHIP
NALEO EDUCATIONAL FUND 1122 W. WASHINGTON BLVD, SUITE 301 LOS ANGELES, CA 90015	52-1212849	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP
NATIONAL ASSOCIATION OF HISPANIC PUBLICATIONS - 529 14TH STREET, N.W., SUITE 1126 - WASHINGTON, DC 20045	11-3707849	501(C)(6)	25,000.	0.			GENERAL SUPPORT
NATIONAL ASSOCIATION OF REGULATORY UTILITY COMMISSIONERS - 1101 VERMONT AVENUE, NW, SUITE 200 - WASHINGTON, DC 20005	53-0204609	501(C)(4)	12,500.	0.			EVENT SPONSORSHIP
NATIONAL ASSOCIATION OF REGULATORY UTILITY COMMISSIONERS - 1101 VERMONT AVENUE, NW, SUITE 200 - WASHINGTON, DC 20005	53-0204609	501(C)(4)	13,500.	0.			EVENT SPONSORSHIP

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL BLACK CAUCUS OF STATE LEGISLATORS - 444 NORTH CAPITOL STREET NW, SUITE 622 - WASHINGTON, DC 20001	52-1218832	501(C)(3)	15,000.	0.			GENERAL SUPPORT
NATIONAL CAPITAL AREA COUNCIL BOY SCOUTS OF AMERICA - 9190 ROCKVILLE PIKE - BETHESDA, MD 20814	53-0204610	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP
NATIONAL CENTER FOR AMERICAN INDIAN ENTERPRISE DEVELOPMENT - 953 EAST JUANITA AVENUE - MESA, AZ 85204	95-2627645	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP
NATIONAL CONFERENCE FOR STATE LEGISLATORS (NCSL) - 7700 EAST FIRST PLACE - DENVER, CO 80230	84-0772595	SEC 115	7,500.	0.			EVENT SPONSORSHIP
NATIONAL ENERGY RESOURCES ORGANIZATION (NERO) - 1707 PRINCE STREET, #5 - ALEXANDRIA, VA 22314	91-1850125	501(C)(3)	5,200.	0.			EVENT SPONSORSHIP
NATIONAL FOUNDATION FOR WOMEN LEGISLATORS, INC. - 910 16TH STREET, NW, SUITE 100 - WASHINGTON, DC 20006	52-1480785	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP
NATIONAL OCEAN POLICY COALITION 601 PENNSYLVANIA AVE., NW, SOUTH BUILDING, STE. 900 - WASHINGTON, DC 20004	27-2005123	501(C)(6)	35,000.	0.			GENERAL SUPPORT
NEXT LEVEL INDIANA, INC. 101 WEST OHIO STREET, SUITE 1180 INDIANAPOLIS, IN 48204	81-4370994	501(C)(4)	10,000.	0.			EVENT SPONSORSHIP
NORTH AMERICA'S BUILDING TRADES UNIONS - 815 16TH STREET, NW, SUITE 600 - WASHINGTON, DC 20006	53-0025755	501(C)(5)	25,000.	0.			EVENT SPONSORSHIP

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OFFSHORE ENERGY CENTER 200 N. DAIRY ASHFORD HOUSTON, TX 77079	76-0280571	501(C)(3)	10,000.	0.			EVENT SPONSORSH
OHIO DEMOCRATIC PARTY 340 EAST FULTON STREET COLUMBUS, OH 43215	31-4165080	SEC 527	10,000.	0.			GENERAL SUPPORT
RADIO TELEVISION DIGITAL NEWS ASSOCIATION (RTDNA) - 529 14TH STREET, NW, STE 425 - WASHINGTON, DC 20045	52-1452178	501(C)(3)	7,750.	0.			EVENT SPONSORSHIP
REPUBLICAN ATTORNEYS GENERAL ASSOCIATION - 1201 F STREET NW, SUITE 675 - WASHINGTON, DC 20004	46-4501717	SEC 527	50,000.	0.			GENERAL SUPPORT
REPUBLICAN GOVERNOR'S ASSOCIATION 1747 PENNSYLVANIA AVE., NW, STE. 25 WASHINGTON, DC 20006	11-3655877	SEC 527	25,000.	0.			GENERAL SUPPORT
REPUBLICAN GOVERNOR'S ASSOCIATION 1747 PENNSYLVANIA AVE., NW, STE. 25 WASHINGTON, DC 20006	11-3655877	SEC 527	100,000.	0.			GENERAL SUPPORT
REPUBLICAN STATE LEADERSHIP COMMITTEE - 1201 F STREET, NW, SUITE 675 - WASHINGTON, DC 20004	05-0532524	SEC 527	25,000.	0.			GENERAL SUPPORT
SCIENCE SPARK 3663 LONE DOVE LANE ENCINITAS, CA 92024	26-2497802	501(C)(3)	15,000.	0.			EVENT SPONSORSHIP
SO OTHERS MIGHT EAT 71 'O' STREET, NW, WASHINGTON, DC 20001	23-7098123	501(C)(3)	10,000.	0.			EVENT SPONSORSHIP

Schedule I (Form 990)

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STANFORD UNIVERSITY TERMAN ENGINEERING CENTER, ROOM 452 STANFORD, CA 94305-4026	94-1156365	501(C)(3)	75,000.	0.			GENERAL SUPPORT
STANFORD UNIVERSITY TERMAN ENGINEERING CENTER, ROOM 452 STANFORD, CA 94305-4026	94-1156365	501(C)(3)	11,667.	0.			EVENT SPONSORSHIP
STRONGER 13308 N. MACARTHUR OKLAHOMA CITY, OK 73142	31-1666039	501(C)(3)	100,000.	0.			GENERAL SUPPORT
TEXAS STATE SOCIETY OF WASHINGTON, DC - PO BOX 70155 - WASHINGTON, DC 20024	52-1282038	501(C)(4)	25,000.	0.			EVENT SPONSORSHIP
THE ECONOMIC CLUB OF WASHINGTON, DC - 1156 15TH STREET, NW, SUITE 600 - WASHINGTON, DC 20005	52-1469926	501(C)(3)	6,000.	0.			EVENT SPONSORSHIP
THE OHIO MANUFACTURERS' ASSOCIATION - 33 N. HIGH STEET, 6TH FLOOR - COLUMBUS, OH 43215	31-4270490	501(C)(6)	10,000.	0.			GENERAL SUPPORT
TRI-STATE BIRD RESCUE 110 POSSUM HOLLOW ROAD NEWARK, DE 19711	51-0265807	501(C)(3)	16,000.	0.			EVENT SPONSORSHIP
UNITED STATES HISPANIC LEADERSHIP INSTITUTE, INC. - 431 S. DEARBORN STREET, SUITE 1203 - CHICAGO, IL 60605	36-3191740	501(C)(3)	7,500.	0.			EVENT SPONSORSHIP
WOMEN'S ENERGY NETWORK OF HOUSTON 1707 POST OAK BLVD., #112 HOUSTON, TX 77056	76-0458344	501(C)(6)	11,875.	0.			EVENT SPONSORSHIP

Schedule I (Form 990)

**Part III** **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
INTERNATIONAL OIL SPILL CONFERENCE SCHOLARSHIPS	19	0.	32,020.	FAIR MARKET VALUE	AIRFARE, LODGING AND MEALS

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

**PART I, LINE 2:**

ALL GRANTS ARE ASSIGNED AN API STAFF MEMBER AS THE CONTRACT OFFICER. THE CONTRACT OFFICER'S RESPONSIBILITIES INCLUDE MONITORING THE ACTIVITIES OF GRANTEES, AS WELL AS THE RECEIPT AND REVIEW OF PERIODIC PROGRESS REPORTS.

DURING 2017, API PROVIDED SCHOLARSHIPS IN THE FORM OF AIRFARE, LODGING AND MEALS TO CERTAIN INDIVIDUALS ATTENDING THE INTERNATIONAL OIL SPILL CONFERENCE. THESE SCHOLARSHIPS ARE REPORTED ON SCHEDULE I, PART III.



**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

- For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees  
 ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
 ▶ Attach to Form 990.  
 ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**

Open to Public  
Inspection

Name of the organization

**AMERICAN PETROLEUM INSTITUTE**

Employer identification number

**13-0433430**

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use               |
| <input checked="" type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence               |
| <input checked="" type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees      |
| <input type="checkbox"/> Discretionary spending account                       | <input checked="" type="checkbox"/> Personal services (such as, maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input type="checkbox"/> Compensation committee                         | <input checked="" type="checkbox"/> Written employment contract                     |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations     | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

**a** Receive a severance payment or change-of-control payment?

**b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?

**c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

**a** The organization?

**b** Any related organization?

If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

**a** The organization?

**b** Any related organization?

If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

<b>1b</b>	<b>X</b>	
<b>2</b>	<b>X</b>	
<b>4a</b>	<b>X</b>	
<b>4b</b>	<b>X</b>	
<b>4c</b>		<b>X</b>
<b>5a</b>		
<b>5b</b>		
<b>6a</b>		
<b>6b</b>		
<b>7</b>		
<b>8</b>		
<b>9</b>		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2017

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) JACK N. GERARD PRESIDENT AND CEO	(i)	2,843,771.	1,075,300.	1,375,070.	1,337,642.	28,343.	6,660,126.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) STACY R. LINDEN GENERAL COUNSEL & CORPORATE SECRETAR	(i)	364,462.	113,700.	73,359.	93,883.	19,238.	664,642.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) JOHN E. ROBERTSON VICE PRESIDENT AND CFO	(i)	347,042.	105,800.	33,008.	92,518.	19,765.	598,133.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) MARTIN J. DURBIN EXECUTIVE VP & CHIEF STRATEGY OFFICE	(i)	1,092,048.	330,200.	516,321.	183,252.	29,544.	2,151,365.	413,425.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) FRANK J. MACCHIAROLA GROUP DIRECTOR	(i)	459,200.	92,500.	10,438.	67,179.	2,100.	631,417.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) KYLE B. ISAKOWER VICE PRESIDENT	(i)	332,348.	93,900.	96,894.	120,284.	27,624.	671,050.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) ROBIN R. RORICK GROUP DIRECTOR	(i)	319,472.	73,100.	129,393.	84,705.	24,695.	631,365.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(8) ERIK G. MILITO GROUP DIRECTOR	(i)	340,612.	77,800.	47,457.	86,710.	24,695.	577,274.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(9) LISA SALLEY VICE PRESIDENT	(i)	351,799.	85,500.	9,336.	66,340.	9,187.	522,162.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(10) LINDA G. ROZETT VICE PRESIDENT	(i)	213,550.	0.	85,051.	-48,493.	832.	250,940.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(11) MEGAN B. BLOOMGREN VICE PRESIDENT	(i)	206,412.	65,000.	1,332.	39,401.	11,687.	323,832.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(12) AMY L. FARRELL GROUP DIRECTOR	(i)	129,966.	0.	21,238.	-2,389.	1,046.	149,861.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(13) CHARLES R. WILLIAMS EXEC DIR CTR FOR OFFSHORE SAFETY	(i)	544,543.	82,000.	70,277.	94,039.	11,464.	802,323.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(14) ROLF W. HANSON SENIOR DIRECTOR	(i)	349,412.	64,800.	79,467.	55,052.	24,695.	573,426.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(15) STEPHEN E. COMSTOCK DIRECTOR	(i)	261,627.	53,900.	25,290.	55,829.	18,157.	414,803.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(16) HOWARD J. FELDMAN SENIOR DIRECTOR	(i)	274,368.	32,300.	26,265.	122,120.	23,909.	478,962.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(17) JOHN P. WAGNER	(i)	241,432.	31,900.	41,936.	135,643.	24,695.	475,606.	0.
MANAGING COUNSEL	(ii)	0.	0.	0.	0.	0.	0.	0.
(18) LOUIS A. FINKEL	(i)	0.	0.	380,844.	0.	8,457.	389,301.	100,869.
FORMER EXECUTIVE VICE PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
(19) JOSE G. URIA	(i)	246,401.	25,000.	2,529.	57,290.	9,688.	340,908.	0.
FORMER ACTING VICE PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**PART I, LINE 1A:**

FIRST CLASS OR CHARTER TRAVEL - FIRST CLASS AIR TRAVEL IS LIMITED TO EXECUTIVE STAFF MEMBERS AND MAY BE BOOKED ONLY WHEN BUSINESS CLASS IS UNAVAILABLE ON QUALIFYING FLIGHTS. OTHER STAFF MEMBERS MAY TRAVEL FIRST OR BUSINESS CLASS ONLY WHEN ACCOMPANYING AN EXECUTIVE STAFF MEMBER, A MEMBER COMPANY EXECUTIVE OR GOVERNMENTAL OFFICIAL WHO TRAVELS FIRST CLASS OR BUSINESS CLASS, OR, FOR INTERNATIONAL FLIGHTS, WHEN EXTENUATING CIRCUMSTANCES JUSTIFYING TRAVELING BUSINESS CLASS. FIRST OR BUSINESS CLASS AIR TRAVEL MUST BE APPROVED IN ADVANCE BY AN EXECUTIVE STAFF MEMBER, AND THE EMPLOYEE MUST PROVIDE THE JUSTIFICATION ON THE EXPENSE REPORT. FIRST CLASS TRAIN PASSAGE IS AN ACCEPTABLE ALTERNATIVE TO COACH AIR FARES OF EQUAL OR GREATER VALUE. IT IS NOT API'S PRACTICE TO CHARTER TRAVEL, EXCEPT IN THE LIMITED CIRCUMSTANCE IN WHICH COMMERCIAL TRAVEL SCHEDULES DO NOT ACCOMMODATE THE PRESIDENT AND CEO'S ITINERARY. NO FLIGHTS WERE CHARTERED DURING 2017.

TRAVEL FOR COMPANIONS - INSTANCES IN WHICH A SPOUSE ACCOMPANIES AN EMPLOYEE TRAVELING ON BUSINESS AT API EXPENSE ARE LIMITED AND APPROVED IN ADVANCE. IN SUCH AUTHORIZED CASES, UNLESS THE STRICT LEGAL DEFINITION OF BUSINESS

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PURPOSE IS MET, THE REIMBURSEMENT IS TREATED AS TAXABLE INCOME TO THE  
EMPLOYEE.

TAX INDEMNIFICATION AND GROSS UP PAYMENTS - FOR EMPLOYEES WHO QUALIFY TO  
PARTICIPATE IN THE NONQUALIFIED RETIREMENT INCOME PLAN, API PAYS TAXES DUE  
ON THE ACCRUED BENEFITS AS THEY ARE AWARDED TO THE PARTICIPANTS. THESE TAX  
PAYMENTS ARE TREATED AS AN ADVANCE AND NETTED FROM THE ACCRUED BENEFITS TO  
THE PARTICIPANTS UPON DISTRIBUTION.

HEALTH OR SOCIAL CLUB DUES OR INITIATION FEES - REIMBURSEMENT OF CLUB DUES  
TO AN EMPLOYEE IN ANY CLUB ORGANIZED FOR PLEASURE, RECREATION, OR OTHER  
SOCIAL PURPOSE (I.E. COUNTRY CLUBS, LUNCHEON CLUBS, AND AIRLINE AND HOTEL  
CLUBS) IS LIMITED AND REQUIRES EXECUTIVE STAFF APPROVAL. EMPLOYEES WHO  
HAVE BEEN REIMBURSED FOR CLUB DUES MUST ANNUALLY ACCOUNT FOR THEIR BUSINESS  
USE, AND NON-BUSINESS USE IS TREATED AS TAXABLE INCOME TO THE EMPLOYEE.

PERSONAL SERVICES - API PROVIDES AN ANNUAL ALLOWANCE OF \$5,000 TO THE  
PRESIDENT AND CEO TO BE USED FOR FINANCIAL PLANNING AND TAX PREPARATION  
SERVICES. THIS ALLOWANCE IS TREATED AS TAXABLE INCOME TO THE PRESIDENT AND

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

CEO. API PROVIDES A PERSONAL DRIVER TO THE PRESIDENT AND CEO PRIMARILY FOR BUSINESS PURPOSES. ANY PERSONAL USAGE IS TREATED AS TAXABLE INCOME TO THE PRESIDENT AND CEO.

## PART I, LINES 4A-B:

THE FOLLOWING EMPLOYEES RECEIVED SEVERANCE PAYMENTS FROM AMERICAN PETROLEUM INSTITUTE IN 2017:

FINKEL, LOUIS A. - \$206,550

## PART I, LINE 4B:

PARTICIPANTS IN THE AMERICAN PETROLEUM INSTITUTE SUPPLEMENTAL BENEFIT PLANS RECEIVED CONTRIBUTIONS IN 2017 AS FOLLOWS:

GERARD, JACK N. \$2,619,733 INCLUDES NONVESTED ACCRUAL OF \$1,270,264

LINDEN, STACY R. \$136,316 INCLUDES NONVESTED ACCRUAL OF \$47,993

ROBERTSON, JOHN E. \$92,373 INCLUDES NONVESTED ACCRUAL OF \$44,235

DURBIN, MARTIN J. \$664,201 INCLUDES NONVESTED ACCRUAL OF \$134,785

MACCHIAROLA, FRANK J. \$57,422 INCLUDES NONVESTED ACCRUAL OF \$47,812

ISAKOWER, KYLE B. \$149,297 INCLUDES NONVESTED ACCRUAL OF \$39,302

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

RORICK, ROBIN R. \$175,647 INCLUDES NONVESTED ACCRUAL OF \$30,585

MILITO, ERIK G. \$95,348 INCLUDES NONVESTED ACCRUAL OF \$32,769

SALLEY, LISA \$44,311 INCLUDES NONVESTED ACCRUAL OF \$40,011

ROZETT, LINDA G. (\$176,563) INCLUDES FORFEITURES AND CHANGES IN ACTUARIAL  
ASSUMPTIONS OF (\$203,491)

BLOOMGREN, MEGAN B. \$26,319 INCLUDES NONVESTED ACCRUAL OF \$26,319

FARRELL, AMY L. (\$4,039) INCLUDES FORFEITURE OF (\$4,039)

WILLIAMS, CHARLES R. \$110,246 INCLUDES NONVESTED ACCRUAL OF \$34,610

HANSON, ROLF W. \$94,533

COMSTOCK, STEPHEN E. \$40,647

FELDMAN, HOWARD J. \$37,661

WAGNER, JOHN P. \$56,330

FINKEL, LOUIS A. \$88

URIA, JOSE G. \$277

THE FOLLOWING PARTICIPANTS IN THE AMERICAN PETROLEUM INSTITUTE SUPPLEMENTAL  
BENEFIT PLANS RECEIVED PAYMENTS IN 2017 AS FOLLOWS:

ROZETT, LINDA G. - \$113,258

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

FARRELL, AMY L. - \$983

FINKEL, LOUIS A. - \$180,444

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**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2017**

Open to Public  
Inspection

Name of the organization

AMERICAN PETROLEUM INSTITUTE

Employer identification number

13-0433430

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

CERTIFICATION - EACH DAY, THE OIL AND NATURAL GAS INDUSTRY DEPENDS ON EQUIPMENT TO PRODUCE, REFINES AND DISTRIBUTES ITS PRODUCTS. THE EQUIPMENT USED IS SOME OF THE MOST TECHNOLOGICALLY ADVANCED AVAILABLE IN THE SEARCH FOR OIL AND GAS AND ALLOWS THE INDUSTRY TO OPERATE IN AN ENVIRONMENTALLY SAFE MANNER. DESIGNED FOR MANUFACTURERS OF PRODUCTION, DRILLING, AND REFINERY EQUIPMENT, THE API MONOGRAM PROGRAM VERIFIES THAT MANUFACTURERS ARE OPERATING IN COMPLIANCE WITH INDUSTRY STANDARDS. API ALSO PROVIDES QUALITY, ENVIRONMENTAL, AND OCCUPATIONAL HEALTH AND SAFETY MANAGEMENT SYSTEMS CERTIFICATION THROUGH ITS API QUALITY REGISTRAR PROGRAM. API ALSO CERTIFIES INSPECTORS OF INDUSTRY EQUIPMENT THROUGH ITS INDIVIDUAL CERTIFICATION PROGRAMS. API OFFERS A WITNESSING PROGRAM TO OBSERVE CRITICAL MATERIAL AND EQUIPMENT TESTING AND VERIFICATION. API'S TRAINING PROVIDER CERTIFICATION PROGRAM PROVIDES THIRDPARTY CERTIFICATION FOR A VARIETY OF OIL AND GAS INDUSTRY TRAINING COURSES. API'S WORKSAFE PROGRAM IS A SERVICE STATION CONTRACTOR SAFETY QUALIFICATION PROGRAM THAT IDENTIFIES PERSONNEL WHO HAVE RECEIVED TRAINING FOR AND PASSED STANDARDIZED EXAMS COVERING THE LATEST SERVICE STATION INDUSTRY SAFETY PRACTICES. API ALSO LICENSES MOTOR OILS FOR USE IN BOTH GASOLINE AND DIESEL ENGINES.

EVENTS AND TRAINING - API ORGANIZES SEMINARS, WORKSHOPS, CONFERENCES AND SYMPOSIA ON ISSUES VITAL TO THE INDUSTRY'S LIVELIHOOD. IT PROVIDES TRAINING MATERIALS THAT HELP PROFESSIONALS IN THE OIL AND GAS BUSINESS MEET REGULATORY REQUIREMENTS AND INDUSTRY STANDARDS.

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SAFETY - THE CENTER FOR OFFSHORE SAFETY IS AN INDUSTRY SPONSORED PROGRAM FOCUSED EXCLUSIVELY ON GULF OF MEXICO OFFSHORE SAFETY. THE PROCESS SAFETY SITE ASSESSMENT PROGRAM INCLUDES TRAINING AND CERTIFICATION, SITE ASSESSMENT, AND STATISTICAL PROCESS SAFETY PRODUCTS TO MAKE INDUSTRY REFINING FACILITIES SAFER.

FORM 990, PART VI, SECTION A, LINE 1:

API'S EXECUTIVE COMMITTEE CONSISTS OF UP TO 15 MEMBERS OF THE BOARD (BUT NO LESS THAN 12). MEMBERS INCLUDE THE API CHAIRMAN OF THE BOARD AND THE CHAIR OF THE FINANCE COMMITTEE, WITH REPRESENTATION OF THE TOP SIX (6) DUES-PAYING MEMBERS, AND THE REMAINING MEMBERS CHOSEN FROM THE REMAINING DUES-PAYING MEMBERS. THE API PRESIDENT AND CEO SERVES AS AN EX-OFFICIO VOTING MEMBER. THE EXECUTIVE COMMITTEE HAS THE AUTHORITY TO EXERCISE ALL OF THE POWERS OF THE BOARD OF DIRECTORS IN THE ABSENCE OF ACTION BY THE BOARD, EXCEPT TO AMEND THE BYLAWS OR AS OTHERWISE LIMITED BY LAW. ITS RESPONSIBILITIES INCLUDE LONG-RANGE PLANNING, STRATEGIC ISSUES, PROGRAM AND BUDGET DEVELOPMENT, OVERSIGHT AND ADMINISTRATION.

FORM 990, PART VI, SECTION A, LINE 6:

API IS A TRADE ASSOCIATION WITH MEMBERSHIP DRAWN FROM THE OIL AND NATURAL GAS INDUSTRY. AS DESCRIBED BELOW, API'S MEMBERS PARTICIPATE IN THE ELECTION OF THE BOARD. REGULAR ELECTED BOARD MEMBERS HAVE FULL VOTING RIGHTS. API'S PRESIDENT IS AN EX OFFICIO VOTING MEMBER OF THE BOARD. OTHER EX OFFICIO MEMBERS DO NOT HAVE VOTING RIGHTS. HONORARY DIRECTORS HAVE NO VOTING RIGHTS.

FORM 990, PART VI, SECTION A, LINE 7A:

THE BOARD MEMBERS ARE ELECTED BY THE BOARD OF DIRECTORS AT THE ANNUAL

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MEETING. EACH DIRECTOR SHALL HOLD OFFICE FOR A TWO YEAR TERM OR UNTIL THE DIRECTOR HAS CEASED TO HAVE A SUBSTANTIAL FULLTIME CONNECTION WITH THE MEMBER COMPANY THE DIRECTOR SERVED WHEN ELECTED.

FORM 990, PART VI, SECTION B, LINE 11B:

API'S FORM 990 WAS PREPARED BY API STAFF AND REVIEWED BY ITS EXTERNAL ACCOUNTING FIRM. PRIOR TO FILING, THE FORM 990 WAS INCLUDED WITH THE MATERIALS FOR THE FINANCE COMMITTEE'S MEETING IN OCTOBER 2018, AND REVIEWED DURING THE MEETING. A COPY OF THE FORM 990 WAS PROVIDED TO THE EXECUTIVE COMMITTEE PRIOR TO FILING.

FORM 990, PART VI, SECTION B, LINE 12C:

API'S STANDARDS OF CONDUCT POLICY INCLUDES PROVISIONS RELATED TO AVOIDING ANY ACT THAT MAY RESULT IN A CONFLICT OF INTEREST. ON AN ANNUAL BASIS, ALL EMPLOYEES ARE ASKED TO CONFIRM THEIR COMPLIANCE WITH THE STANDARDS OF CONDUCT POLICY. ANY EMPLOYEE WHO IS AWARE OF A VIOLATION OF THIS POLICY MUST TAKE APPROPRIATE ACTION SO THAT THE VIOLATION IS PROMPTLY ADDRESSED. THIS MAY INCLUDE REPORTING A VIOLATION TO AN EXECUTIVE STAFF MEMBER OR TO AN EXTERNALLY-OPERATED ETHICS HOTLINE. ALL REPORTS ARE ASSIGNED TO AN APPROPRIATE EXECUTIVE STAFF MEMBER FOR INVESTIGATION AND RESOLUTION AND A REPORT OF ALL INCIDENTS ARE PROVIDED TO THE FINANCE COMMITTEE EACH YEAR. THE BOARD OF DIRECTORS ADOPTED A CONFLICT OF INTEREST POLICY IN 2008 THAT REQUIRES FULL DISCLOSURE OF ALL ACTUAL AND POTENTIAL CONFLICTS. THE DISINTERESTED MEMBERS OF THE API EXECUTIVE COMMITTEE SHALL MAKE A DETERMINATION AS TO WHETHER A CONFLICT EXISTS AND WHAT SUBSEQUENT ACTION IS APPROPRIATE (IF ANY). A COPY OF THE POLICY IS PROVIDED ANNUALLY TO ALL BOARD MEMBERS WHO ARE REQUESTED TO COMPLETE AND SIGN AN ACKNOWLEDGMENT AND DISCLOSURE FORM.

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FORM 990, PART VI, SECTION B, LINE 15:

COMPENSATION FOR API'S PRESIDENT & CEO, OTHER OFFICERS, AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE EXECUTIVE COMMITTEE, BASED ON PERFORMANCE REVIEWS, EXTERNAL COMPARABLE DATA OBTAINED FROM CONSULTANTS, AND OTHER RELEVANT INFORMATION. THE PRESIDENT & CEO'S COMPENSATION IS BASED ON A WRITTEN CONTRACT APPROVED BY THE EXECUTIVE COMMITTEE. A REVIEW WAS LAST CONDUCTED IN 2017 FOR THE PRESIDENT AND CEO, OTHER OFFICERS, AND KEY EMPLOYEES.

FORM 990, PART VI, SECTION C, LINE 19:

NO DOCUMENTS AVAILABLE TO THE PUBLIC.

FORM 990, PART VI, LINE 10B

EXPLANATION OF STATE PETROLEUM COUNCILS

API OPERATES STATE PETROLEUM COUNCILS LOCATED IN VARIOUS STATE CAPITALS. THESE ARE NOT SEPARATE LEGAL ENTITIES BUT OPERATE AS BRANCH OFFICES OF API UNDER LOCAL TRADE NAMES. THERE ARE ALSO SEVERAL UNRELATED LOCAL CHAPTERS THAT EXIST THAT MAY USE THE API NAME, BUT THEY ARE SEPARATE LEGAL ENTITIES FROM API.

EXPLANATION OF CENTER FOR OFFSHORE SAFETY

THE CENTER FOR OFFSHORE SAFETY IS AN INDUSTRY SPONSORED PROGRAM FOCUSED EXCLUSIVELY ON GULF OF MEXICO OFFSHORE SAFETY. THE CENTER FOR OFFSHORE SAFETY IS NOT A SEPARATE LEGAL ENTITY BUT OPERATES AS A BRANCH OFFICE OF API UNDER A TRADE NAME.

EXPLANATION OF FOREIGN OFFICES

Name of the organization

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AS THE MARKETS FOR API'S PROGRAMS HAVE BECOME INCREASINGLY GLOBAL, API MAINTAINS OFFICES IN CHINA, UNITED ARAB EMIRATES (UAE), SINGAPORE AND BRAZIL TO SERVE INTERNATIONAL CUSTOMERS. THE OFFICES ARE RESPONSIBLE FOR PROVIDING INFORMATION ABOUT API'S STANDARDS, CERTIFICATION PROGRAMS AND OTHER INDUSTRY SERVICES TO COMPANIES THROUGHOUT THE WORLD. THE CHINA AND UAE OFFICES ARE NOT SEPARATE LEGAL ENTITIES BUT OPERATE AS REPRESENTATIVE OFFICES. THE BRAZIL AND SINGAPORE OFFICES ARE SEPARATE LEGAL ENTITIES BUT HAVE ELECTED TO BE TREATED AS DISREGARDED ENTITIES OF API FOR TAX PURPOSES.

## PART VIII, LINE 1F, ALL OTHER CONTRIBUTIONS

EFFECTIVE JANUARY, 1, 2016, AMERICA'S NATURAL GAS ALLIANCE (ANGA) AND API EFFECTED AN ASSET CONTRIBUTION AGREEMENT WHEREBY ANGA CONTRIBUTED SUBSTANTIALLY ALL ITS NET ASSETS TO API AND API ASSUMED THE ADVOCACY MISSION ACTIVITIES OF ANGA. AS SUCH, API RECEIVED CASH CONTRIBUTIONS OF \$12,193,728 IN 2016 AND AN ADDITIONAL CASH CONTRIBUTION OF \$100,000 IN 2017.

## FORM 990, PART IX, LINE 11G, OTHER FEES:

CONTRACTED AUDIT SERVICES	19,451,123.
ADVOCACY CONSULTING	15,369,416.
IT CONSULTING	4,844,513.
OTHER CONSULTING SERVICES	6,795,384.
TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A	46,460,436.

## FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

Name of the organization

AMERICAN PETROLEUM INSTITUTE

Employer identification number

13-0433430

**PENSION-RELATED CHANGES OTHER THAN NET PERIODIC PENSION****COSTS****-10,469,877.****FORM 990, PART XII, LINE 2C:****THE PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR.**

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**SCHEDULE R**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Related Organizations and Unrelated Partnerships**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Name of the organization

**AMERICAN PETROLEUM INSTITUTE**

Employer identification number

**13-0433430**

**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
AMERICAN PETROLEUM INSTITUTE HOLDINGS, LLC 1220 L STREET, NW WASHINGTON, DC 20005	HOLDS A MINORITY INTEREST IN API-BRASIL CONSULTORIA LDTA.	DELAWARE			
API-BRASIL CONSULTORIA LTDA - 98-1154471 PRAIA DE BOTAFOGO, 228-16 ANDAR RIO DE JANEIRO, BRAZIL 22250-040	CERTIFICATION PROGRAMS	BRAZIL		92,608.	
AMERICAN PETROLEUM INSTITUTE (SINGAPORE) PTE LTD - 98-1292513, 9 BATTERY ROAD #15-01, STRAITS TRADING BUILDING, SINGAPORE 049910	CERTIFICATION PROGRAMS	SINGAPORE		26,295.	

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
AMERICAN PETROLEUM INSTITUTE POLITICAL ACTION COMMITTEE - 27-2596972, 1220 L STREET, NW, WASHINGTON, DC 20005	POLITICAL ACTION COMMITTEE/SEPARATE SEGREGATED FUND	DISTRICT OF COLUMBIA	527			X	

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2017

**Part III Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

[illegible]

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

[illegible]



**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

	Yes	No
<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		
<b>a</b> Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity .....	<b>1a</b>	X
<b>b</b> Gift, grant, or capital contribution to related organization(s) .....	<b>1b</b>	X
<b>c</b> Gift, grant, or capital contribution from related organization(s) .....	<b>1c</b>	X
<b>d</b> Loans or loan guarantees to or for related organization(s) .....	<b>1d</b>	X
<b>e</b> Loans or loan guarantees by related organization(s) .....	<b>1e</b>	X
<b>f</b> Dividends from related organization(s) .....	<b>1f</b>	X
<b>g</b> Sale of assets to related organization(s) .....	<b>1g</b>	X
<b>h</b> Purchase of assets from related organization(s) .....	<b>1h</b>	X
<b>i</b> Exchange of assets with related organization(s) .....	<b>1i</b>	X
<b>j</b> Lease of facilities, equipment, or other assets to related organization(s) .....	<b>1j</b>	X
<b>k</b> Lease of facilities, equipment, or other assets from related organization(s) .....	<b>1k</b>	X
<b>l</b> Performance of services or membership or fundraising solicitations for related organization(s) .....	<b>1l</b>	X
<b>m</b> Performance of services or membership or fundraising solicitations by related organization(s) .....	<b>1m</b>	X
<b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) .....	<b>1n</b>	X
<b>o</b> Sharing of paid employees with related organization(s) .....	<b>1o</b>	X
<b>p</b> Reimbursement paid to related organization(s) for expenses .....	<b>1p</b>	X
<b>q</b> Reimbursement paid by related organization(s) for expenses .....	<b>1q</b>	X
<b>r</b> Other transfer of cash or property to related organization(s) .....	<b>1r</b>	X
<b>s</b> Other transfer of cash or property from related organization(s) .....	<b>1s</b>	X
<b>2</b> If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.		

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) API POLITICAL ACTION COMMITTEE	L	72,451.	FAIR MARKET VALUE - IN-KIND
(2) API POLITICAL ACTION COMMITTEE	N	99,910.	FAIR MARKET VALUE - IN-KIND
(3) API POLITICAL ACTION COMMITTEE	O	170,578.	FAIR MARKET VALUE - IN-KIND
(4)			
(5)			
(6)			

**Part VI** **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

**Part VII** Supplemental Information.

Provide additional information for responses to questions on Schedule R. See instructions.

**PART V:**

API PAYS CERTAIN ADMINISTRATIVE EXPENSES RELATED TO THE API POLITICAL ACTION COMMITTEE. THESE EXPENSES INCLUDE PERSONNEL COSTS AND OTHER SERVICES AND ARE PAID DIRECTLY BY API.

PUBLIC DISCLOSURE COPY

# Application for Automatic Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at** [www.irs.gov/form8868](http://www.irs.gov/form8868) .

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on *e-file for Charities and Non-Profits*.

## Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  <b>AMERICAN PETROLEUM INSTITUTE</b>	Employer identification number (EIN) or  <b>13-0433430</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>1220 L STREET</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>WASHINGTON, DC 20005</b>	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**MICHAEL J. PFEIFFER**

- The books are in the care of ► **1220 L STREET NW - WASHINGTON, DC 20005**  
Telephone No. ► **(202) 682-8000** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until **NOVEMBER 15, 2018**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year **2017** or
- ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>0.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>0.</b>
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>0.</b>

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.